#### **Curves and Surfaces**

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# **Objectives**

- Introduce types of curves and surfaces
  - Explicit
  - Implicit
  - Parametric
  - Strengths and weaknesses
- Discuss Modeling and Approximations
  - Conditions
  - Stability

# **Escaping Flatland**

- Until now we have worked with flat entities such as lines and flat polygons
  - Fit well with graphics hardware
  - Mathematically simple
- But the world is not composed of flat entities
  - Need curves and curved surfaces
  - May only have need at the application level
  - Implementation can render them approximately with flat primitives

#### **Modeling with Curves**



# What Makes a Good Representation?

- There are many ways to represent curves and surfaces
- Want a representation that is
  - Stable
  - Smooth
  - Easy to evaluate
  - Must we interpolate or can we just come close to data?
  - Do we need derivatives?

#### **Representation of Curves & Surfaces**

- Three types of object representation:
  - explicit: y = f(x).
  - implicit: f(x, y) = 0.
  - parametric:  $\mathbf{p}(u) = [x(u) \ y(u) \ z(u)]^T$ .

## **Explicit Representation**

- Most familiar form of curve in 2D
  - y=f(x)
- Cannot represent all curves
  - Vertical lines
  - Circles
- Extension to 3D
  - y=f(x), z=g(x)
  - The form z = f(x,y) defines a surface





## **Explicit Representation of Lines**

 The explicit form of a curve in 2D gives the value of one dependent variable in terms of the other independent variable.

$$y = f(x).$$

- An explicit form may or may not exist. We write y = mx + b

for the line even though the equation does not hold for vertical lines.

#### **Explicit Representation of Circles**

- A circle has constant **curvature**.
  - An explicit form exists only for half of the curve:

$$y=\sqrt{r^2-x^2}.$$

- The other half requires a second equation:

$$y = -\sqrt{r^2 - x^2}.$$

- In addition, we must restrict the range of *x*.
  - *f* is a function, so there must be exactly one value of *y* for every *x*.

#### **Explicit Surfaces**

 A surface requires two independent variables and two equations:

$$y = ax + b,$$
  
$$z = cx + d.$$

- The line cannot be in a plane of constant *x*.
- We cannot represent a sphere with only one equation of the form

$$z = f(x, y).$$

## **Implicit Representation**

• An implicit curve has the form

$$f(x,y)=0.$$

- Much more robust
  - A line: ax + by + c = 0.
  - A circle:  $x^2 + y^2 r^2 = 0$ .
- Implicit functions test membership.
  - Does the point (x, y) lie on the curve determined by f?
- In general, there is no analytic way to find the *y* value for a given *x*.

## **Implicit Surfaces**

 In three dimensions, a surface is described by the implicit form

$$f(x,y,z)=0.$$

- A plane: ax + by + cz + d = 0.
- A sphere:  $x^2 + y^2 + z^2 r^2 = 0$ .
- Intersect two 3D surfaces to get a 3D curve.
- Implicit curve representations are difficult to use in 3D.

## **Algebraic Surfaces**

- One class of useful implicit surfaces is the **quadric** surface.
  - Algebraic surfaces are those for which the function f(x, y, z) is the sum of polynomials.

$$\sum_{i}\sum_{j}\sum_{k}x^{i}y^{j}z^{k}=0$$

- Quadric surfaces contain polynomials that have degree at most two:  $2 \ge i + j + k$ 

This yields at most 10 terms

## **Parametric Form**

• Expresses the value of each spatial component in terms of an independent variable *u*, the **parameter**:

$$x = X(u), \quad y = Y(u), \quad z = Z(u).$$

- 3 explicit functions, 1 independent variable.
- Same form in 2D and 3D.
- The most flexible and robust form for computer graphics.

## **Parametric Form of Line**

- Parametric form of the line:
  - More robust and general than other forms
  - Extends to curves and surfaces
- Two-dimensional forms
  - Explicit: y = mx + b
  - Implicit: ax + by + c = 0
  - Parametric:

$$x(\alpha) = (1-\alpha)x_0 + \alpha x_1$$
$$y(\alpha) = (1-\alpha)y_0 + \alpha y_1$$

#### **Parametric Curves**

Separate equation for each spatial variable

x=x(u)Matrix notation:y=y(u) $p(u)=[x(u), y(u), z(u)]^T$ z=z(u)

• The parametric form describes the locus of points being drawn as u varies:  $u_{min} \le u \le u_{max}$ 



#### **Derivative of the Curve**

• The derivative is the velocity with which the curve is traced out:

$$\frac{d\mathbf{p}(u)}{du} = \begin{bmatrix} dx(u)/du \\ dy(u)/du \\ dz(u)/du \end{bmatrix}.$$

- It points in the direction tangent to the curve.

#### **Parametric Lines**



#### **Parametric Surfaces**

• Surfaces require 2 parameters

x=x(u,v) y=y(u,v) z=z(u,v)

p(0,v) p(u,1) p(1,v)z p(u,0)

- $\mathbf{p}(u,v) = [x(u,v), y(u,v), z(u,v)]^{\mathsf{T}}$
- Want same properties as curves:
  - Smoothness
  - Differentiability
  - Ease of evaluation

#### Normals

We can differentiate with respect to  $\mathbf{u}$  and  $\mathbf{v}$  to obtain the normal at any point  $\mathbf{p}$ 

$$\frac{\partial \mathbf{p}(u,v)}{\partial u} = \begin{bmatrix} \frac{\partial \mathbf{x}(u,v)}{\partial u} \\ \frac{\partial \mathbf{y}(u,v)}{\partial u} \\ \frac{\partial \mathbf{z}(u,v)}{\partial u} \end{bmatrix} \qquad \frac{\partial \mathbf{p}(u,v)}{\partial v} = \begin{bmatrix} \frac{\partial \mathbf{x}(u,v)}{\partial v} \\ \frac{\partial \mathbf{y}(u,v)}{\partial v} \\ \frac{\partial \mathbf{z}(u,v)}{\partial v} \end{bmatrix}$$
$$\mathbf{n} = \frac{\partial \mathbf{p}(u,v)}{\partial u} \times \frac{\partial \mathbf{p}(u,v)}{\partial v}$$

#### **Parametric Planes**

Point-Vector form  $p(u,v)=p_0+uq+vr$  $n = q \ge r$ 

Three-point form

$$\mathbf{q} = \mathbf{p}_1 - \mathbf{p}_0$$
$$\mathbf{r} = \mathbf{p}_2 - \mathbf{p}_0$$



## **Parametric Sphere**

$$\begin{aligned} x(u,v) &= r \cos q \sin f \\ y(u,v) &= r \sin q \sin f \\ z(u,v) &= r \cos f \end{aligned}$$

 $\begin{array}{ll} 360 \geq q & \geq 0 \\ 180 \geq f & \geq 0 \end{array}$ 



θ constant: circles of constant longitudef constant: circles of constant latitude

differentiate to show  $\mathbf{n} = \mathbf{p}$ 

# **Curve Segments**

- After normalizing u, each curve is written  $\mathbf{p}(u)=[x(u), y(u), z(u)]^T$ ,  $0 \le u \le 1$
- In classical numerical methods, we design a single global curve
- In computer graphics and CAD, it is better to design small connected curve *segments*



#### **Parametric Polynomial Curves**

$$x(u) = \sum_{i=0}^{N} c_{xi} u^{i} \quad y(u) = \sum_{j=0}^{M} c_{yj} u^{j} \quad z(u) = \sum_{k=0}^{L} c_{zk} u^{k}$$

- If N=M=K, we need to determine 3(N+1) coefficients
- Equivalently we need 3(N+1) independent conditions
- Noting that the curves for x, y and z are independent, we can define each independently in an identical manner
- We will use the form where p can be any of x, y, z

$$\mathbf{p}(u) = \sum_{k=0}^{L} c_k u^k$$

# **Why Polynomials**

- Easy to evaluate
- Continuous and differentiable everywhere
  - Must worry about continuity at join points including continuity of derivatives



# **Cubic Parametric Polynomials**

 N=M=L=3, gives balance between ease of evaluation and flexibility in design

$$\mathbf{p}(u) = \sum_{k=0}^{3} c_k u^k$$

- $\bullet$  Four coefficients to determine for each of  $x,\,y$  and z
- Seek four independent conditions for various values of u resulting in 4 equations in 4 unknowns for each of x, y and z
  - Conditions are a mixture of continuity requirements at the join points and conditions for fitting the data

# **Cubic Polynomial Surfaces**

where

$$p(u,v) = \sum_{i=0}^{3} \sum_{j=0}^{3} c_{ij} u^{i} v^{j}$$

p is any of x, y or z

Need 48 coefficients (3 independent sets of 16) to determine a surface patch

## **Parametric Polynomial Surfaces**

In general,

$$\mathbf{p}(u,v) = \sum_{i=0}^{n} \sum_{j=0}^{m} c_{ij} u^{i} v^{j}$$



#### • A surface patch:

- Specify 3(n+1)(m+1) coefficients.
- Let n = m, and let u and v vary over the rectangle  $0 \le u, v \le 1$ .